



PMR OPERATIONS MONITORING SYSTEM – UPDATE

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PMR M&E Framework: A work in progress



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A. PERFORMANCE EVALUATION SYSTEM

- **Periodic Evaluations**
 - First Independent Evaluation ✓
 - Subsequent Evaluations ✗

- **Tools**

- **Evaluation Framework**
 - Logical Framework ✓ ✗
 - Evaluative Questions ✓ ✗

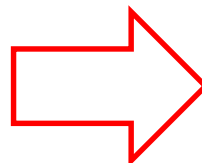
B. OPERATIONS MONITORING SYSTEM

- **Operations Monitoring**
 - Regular reporting by PMR Secretariat ✓
 - Annual reporting by Implementing Countries ✓

- **Tools**

- **PMR Dashboard** ✓
- **PMR Project Implementation Status Reports** ✓

PMR
Results
Monitoring
Report
✗



Next Steps (presented at PA11)

1. Endorsement of **PMR Dashboard** and **PMR Project Implementation Status Report** template at PA11 (PA)
2. Written comments on the draft template for the annual **PMR Results Monitoring Report** until March 27, 2015 (PA)
3. Prepare and present a first **PMR Project Implementation Status Report** at PA12 (ICPs with effective grants)
4. Finalize the template for the annual **PMR Results Monitoring Report** for presentation (by PA13?), in accordance with the possible revisions of the **Evaluation Framework** following the conclusion of the Evaluation Report (PMR Secretariat and, possibly, M&E WG)

PMR Dashboard (1)

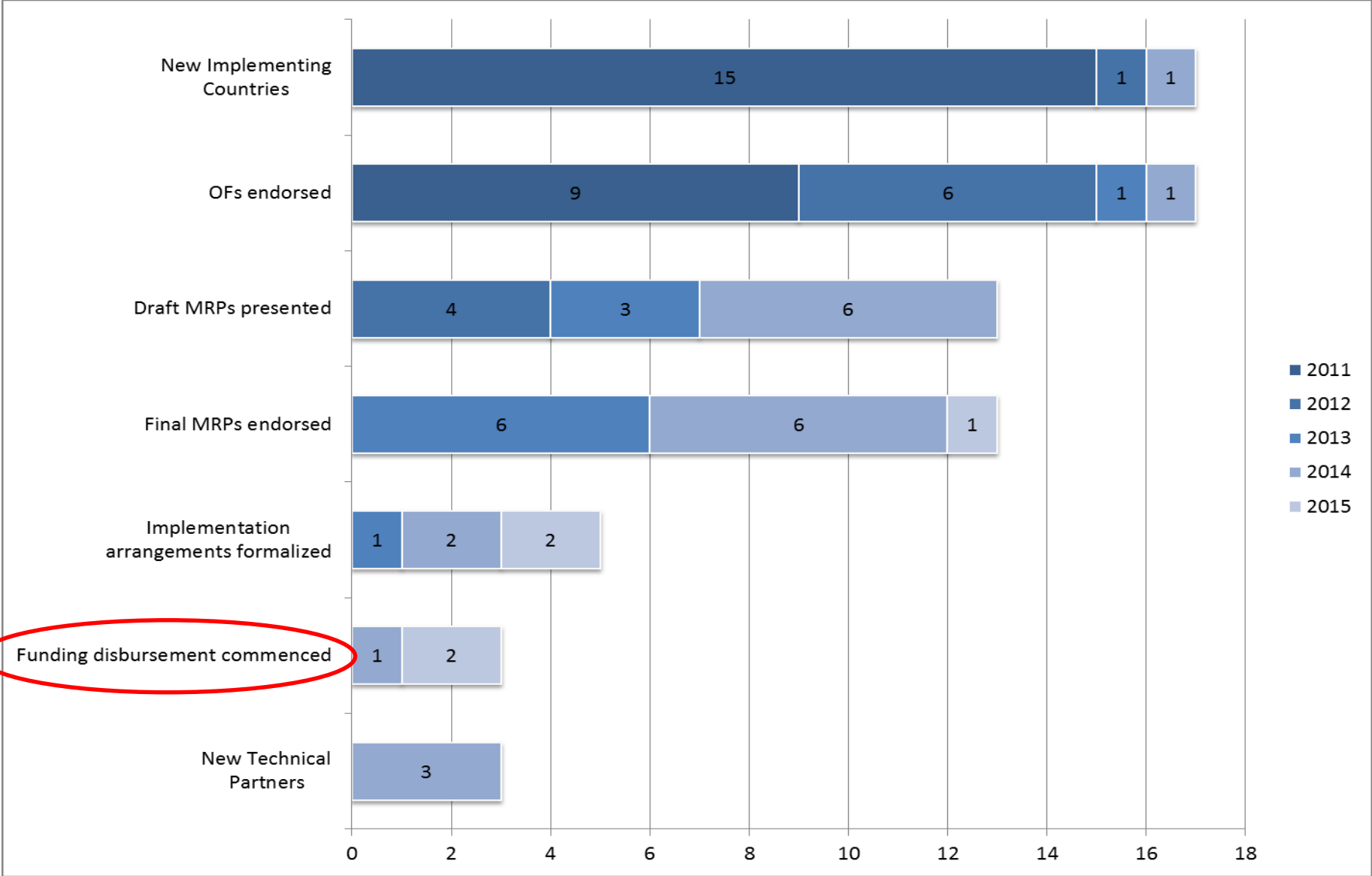
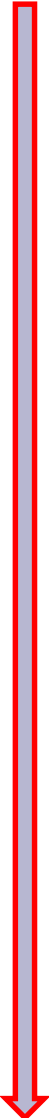
Implementing Country	Eol Endorsement Date	OF Endorsement Date	Expert Review Process Completed	Draft MRP Presentation Date	Final MRP Endorsement Date	MRP Implementation Grant Allocation (\$3, 5 or 8 million)	Grant Agreement Signed/Other Implementation Arrangements Date	First Procurement Process Launched
Brazil	10/27/11 (PA2)	05/28/12 (PA3)	Y	05/26/14 (PA9)	09/19/2014 (Electronic)	\$ 3,000,000	May-15	
Chile	04/11/11 (OM)	05/31/11 (PA1)	Y	10/24/12 (PA4)	03/13/13 (PA5)	\$ 3,000,000	Sep-14	Y
China	04/11/11 (OM)	05/30/11 (PA1)	Y	10/24/12 (PA4)	03/13/13 (PA5)	\$ 8,000,000	Mar-14	Y
Colombia	04/11/11 (OM)	05/31/11 (PA1)	Y	3/3/14 (PA8)	05/26/14 (PA9)	\$ 3,000,000		
Costa Rica	04/11/11 (OM)	05/31/11 (PA1)	Y	10/24/12 (PA4)	03/13/13 (PA5)	\$ 3,000,000	Mar-15	
India	10/27/11 (PA2)	05/28/12 (PA3)						
Indonesia	04/11/11 (OM)	05/30/11 (PA1)	Y	05/28/13 (PA6)	10/22/13 (PA7)	\$ 3,000,000		
Jordan	10/27/11 (PA2)	05/29/12 (PA3)						
Mexico	04/11/11 (OM)	05/31/11 (PA1)	Y	10/24/12 (PA4)	03/13/13 (PA5)	\$ 3,000,000		
Morocco	05/31/11 (PA1)	03/14/12 (EX MTG1)	Y	3/3/14 (PA8)	05/26/14 (PA9)	\$ 3,000,000	Jun-15*	Y
Peru	10/24/12 (PA4)	03/13/13 (PA5)						
South Africa	10/27/11 (PA2)	05/28/12 (PA3)	Y	11/4/2014 (PA10)	03/11/2015 (PA11)	\$ 5,000,000		
Thailand	04/11/11 (OM)	05/30/11 (PA1)	Y	10/22/13 (PA7)	03/03/14 (PA8)	\$ 3,000,000		
Tunisia	03/04/14 (PA8)	03/04/14 (PA8)						
Turkey	04/11/11 (OM)	05/30/11 (PA1)	Y	03/11/13 (PA5)	05/28/13 (PA6)	\$ 3,000,000	Dec-13	Y
Ukraine	04/11/11 (OM)	10/27/11 (PA2)	Y	05/26/14 (PA9)	09/19/2014 (Electronic)	\$ 3,000,000		
Vietnam	10/27/11 (PA2)	05/28/12 (PA3)	Y	05/26/14 (PA9)	11/03/2014 (PA10)	\$ 3,000,000		
Totals:	17	17	13	13	13	\$ 46,000,000	6	4

* Expected date

Proposed addition

Implementing Country	Disbursement (planned/actual, USD)				
	FY15	FY16	FY17	FY18	FY19
Brazil					
Chile					
China					
Colombia					
Costa Rica					
India					
Indonesia					
Jordan					
Mexico					
Morocco					
Peru					
South Africa					
Thailand					
Tunisia					
Turkey					
Ukraine					
Vietnam					
Totals:					

PMR Dashboard (2)



PMR Dashboard (3)

ICP/TP	Final MRP Endorsement	Comments/Remarks
Brazil	09/19/2014 (Electronic)	The World Bank and the Brazilian Ministry of Finance have formally agreed that the PMR Implementation Grant would be executed by the World Bank. Both "parties" are now in the process of defining the terms of the technical collaboration for the execution of the PMR Implementation Grant.
Chile	03/13/13 (PA5)	The Grant agreement between the World Bank and the Government of Chile was signed in September 2014 and became effective following approval by the <i>Controlaría de la República</i> . The first terms of reference for setting up the project management unit are completed and procurement processes have been launched in March 2015 and completed in May 2015. The Project management team has been selected and the contracts for each team member are being processed by the Implementing Agency (AGCI). The procurement processes for components 1 and 2 of the the Grant Agreement have been launched as well and related activities are expected to start very soon. Chile will present its first PMR Project Implementation Status Report at PA12 in Barcelona.
China	03/13/13 (PA5)	The World Bank and China's Ministry of Finance have signed the PMR Grant on key Agreement and the Project was formally launched in March 2015. All the procurement processes to select the consultant teams that work components of the design of the national ETS have been completed by the NDRC. Activities have started on all components of the Grant Agreement and the first results are expected by mid-2016.
Colombia	05/26/14 (PA9)	Project appraisal stage on hold since January 2015, pending confirmation of the designated implementing agency (Agencia Presidencial de Cooperación Internacional de Colombia, APC-Colombia). The World Bank will be able to finalize project appraisal package for Country Director's approval still this FY, provided Colombian counterpart agencies confirm the designation of APC in May 2015.
Costa Rica	03/13/13 (PA5)	Implementation arrangements have been revisited. The Government of Costa Rica and the World Bank as Delivery Partner have agreed on Bank-executed implementation of PMR funding. In light of this, the procurement plan is being updated and terms of reference for setting up the project management unit are under preparation.
India	PA13*	Draft MRP has been completed and is awaiting full review and endorsement by the Ministry of Environment, Forest and Climate Change.
Indonesia	10/22/13 (PA7)	The Coordinating Ministry for Economic Affairs was selected as the coordinator/focal point for the Partnership for Market Readiness in Indonesia in May 2015. Progress on grant implementation arrangements is pending a full program review and agreement with the CMEA.
Jordan	PA15*	Draft MRP under development. Presentation of Draft MRP planned for PA14 (Spring 2015).
Mexico	03/13/13 (PA5)	Final decisions on institutional arrangements and fiduciary responsibilities to channel funding have been made and grant agreement is being finalized. Procurement plan is ready and first terms of reference for setting up the project management unit are completed. Technical committees for the supervision of each NAMA have been set up and have reviewed the terms of reference for the planned activities under the MRP. Grant Agreement is expected to be signed by July 2015.
Morocco	05/26/14 (PA9)	The appraisal of the PMR Project by the World Bank as Delivery Partner has been completed. The target date for the signature of the Grant Agreement between the Moroccan Government and the World Bank has been set for June 2, 2015. First terms of reference for the experts of the Project Management Unit have been completed and the procurement process to set it up is under way. Government members of the Project Management Team have been officially appointed by the Minister of Environment.

PMR Dashboard (4)

ICP/TP	Final MRP Endorsement	Comments/Remarks
Morocco	05/26/14 (PA9)	The appraisal of the PMR Project by the World Bank as Delivery Partner has been completed. The target date for the signature of the Grant Agreement between the Moroccan Government and the World Bank has been set for June 2, 2015. First terms of reference for the experts of the Project Management Unit have been completed and the procurement process to set it up is under way. Government members of the Project Management Team have been officially appointed by the Minister of Environment.
Peru	PA14*	Draft MRP under development. Presentation of Draft MRP planned for PA13 (Fall 2015).
South Africa	03/11/2015 (PA11)	South Africa presented its draft MRP in November 2014. In March 2015, South Africa presented the final and received a grant in the amount of \$5 million to support the design and implementation of a carbon tax. The PMR Secretariat has initiated the World Bank's internal processes needed for grant agreement signing. The Project Concept Note has been drafted and is expected to be reviewed and endorsed internally by the end of June 2015, following which the Project Appraisal is expected by the end of October 2015. The grant agreement is expected to be signed by the end of December 2015. The activities are expected to start in January 2016. The implementing agency for the PMR grant (recipient-executed) will be the South Africa's National Treasury.
Thailand	03/03/14 (PA8)	The appraisal of the PMR Project by the World Bank as delivery Partner has been completed. The Grant Agreement is expected to be signed by September 2015 due to a request for the changes in the grant agreement by the Government of Thailand and an unexpected conflict between the World Bank procurement guideline and the Thailand Office of National Anti-Corruption Commission's policy (ONACC). As for the former, the World Bank legal team is revising the draft grant agreement. As for the latter, the decision of ONACC subcommittee meeting (May 20) is a key. If this conflict need to be resolved by waiving the NOACC procurement guideline for the Bank funded project at the cabinet level, they need at least three months before GA signing. With the current progress of the revision work on the grant agreement, September 15 is more realistic for grant signing. The World Bank as Delivery Partner and the PMR Secretariat have already reviewed the terms of reference for the consultant work for the MRP activities prepared by Thailand.
Tunisia	PA16*	The new Tunisian Government and the World Bank are discussing execution arrangements for MRP preparation. Terms of reference for the preparation of the MRP have been completed. Request for proposals will be launched and start of MRP preparation work is expected by August 2015.
Turkey	05/28/13 (PA6)	The MRV Pilot activities have started in all three sectors, i.e. electricity, cement, and refinery. The consultants selected under these assignments are working with the Ministry of Environment and Urbanization (MoEU), the piloted installations, and other stakeholders on the development of Monitoring Plans and Emissions Reports. Activities related to the verification of these reports are expected to start in July 2015. The analytical studies aiming to inform decision making on the use of market-based instruments (incl. ETS) are expected to start over the summer 2015. The MoEU has been consulting extensively with local and international experts and stakeholders to refine the scope of these studies and develop robust TORs.
Ukraine	09/19/2014 (Electronic)	The State Environmental Investment Agency (SEIA) has now been dissolved. The World Bank is in discussion with the Ministry of Economy and the Ministry of Environment (MoE) on the PMR project appraisal process and delivery arrangements. The MoE just created a Climate Change Department. The World Bank is confident that current discussions can lead to the signature of the Grant Agreement by September 2015.
Vietnam	03/11/2014 (PA10)	The World Bank is now carrying out an appraisal in May 2015. The World Bank would be able to have a package for Country Director's approval by the end of June, including draft grant agreement. However, the Ministry of Natural Resources and Environment may need time to obtain a prime minister's approval for the Project Detailed Outline after clearances from the ministries concerned such as Ministry of Planning and Investment, Ministry of Industry and Trade, and Ministry of Construction. After the appraisal, the Bank will have a clear idea about the timing of the grant execution, the target date of the execution of the grant agreement is Q3 2015.
Kazakhstan	03/04/2014 (PA8)	Adaptation of Benchmarks for Allowances Allocation for Specific Sectors in Kazakhstan: the results of the benchmarks determination (industry) were delivered to the Ministry of Energy (MoE) in May 2015, and will be discussed with stakeholders in a workshop mid-June, along with those developed in other sectors (i.e. oil & gas, electricity) under other assistance programs (i.e. Norway, USAID). Identifying Barriers in the ETS of Kazakhstan: Barriers, Options & Actions: the draft report was delivered to the MoE in May 2015 for review and discussion. Development of Policy Options for Mid- and Long-term Emissions Pathways and Role of Carbon Pricing: a local University and an international firm were selected to develop new and consolidate existing models, and perform economic analysis (contract arrangements under discussion). In parallel, the MoE is working with the World Bank to define new activities under the second tranche of funding (i.e. US\$500,000) aiming to present a short proposal to PA in October 2015.

PMR Project Implementation Status Report (ISR)

Focus on:

- Progress by component
- Progress, challenges and lessons learned

- Proposed modifications to the PA11 version include:
 - General reference to implementation status → already included for each component
 - Funding mobilized (government, others) (under the 'Grant amount' box at the bottom of section 1)

- ICPs started using it – Turkey and Chile



PMR Note PA11-2015-1 – Annex II

ANNEX II: PROPOSED TEMPLATE FOR PMR PROJECT IMPLEMENTATION STATUS REPORT (ISR)

The PMR Project Implementation Status Report should be prepared by the implementing Country or Technical Partner, with the support of the Delivery Partner and/or the PMR Secretariat. For any questions related to the preparation of the PMR Project Implementation Status Report, please contact the PMR Secretariat at: pmrsecretariat@worldbank.org.

1. SUMMARY INFORMATION

Implementing Country/Technical Partner:	Please fill in the name of the PMR implementing Country of Technical Partner.
Reporting Period:	From mm/dd/yyyy to mm/dd/yyyy. For the first report, the start date should correspond to the date of Grant Agreement effectiveness. For subsequent reports, the start date should be the date following the end date of the previous report. As a general rule, the Reporting Period should cover a period of 12 months. This may be shorter or longer, especially for the first report, depending on the timing of reporting to the PMR Assembly.
Report Date:	Date of submission of the report to the PMR Secretariat.
Implementing Agency:	Please fill in the name of the agency responsible for the implementation of the PMR Grant and for preparing this report.
Contact Person:	Please fill in the name of the person that can be contacted in case any question or clarification on this report is required.

Grant Executed By:	Recipient/World Bank/Other Delivery Partner
Grant Effectiveness and Closing Dates:	mm/dd/yyyy - mm/dd/yyyy Please fill in the Effectiveness and Closing Dates of the PMR Grant.
Grant Amount (USD):	USD xxx,xxx Please fill in the total amount of the Grant (in USD).

2. OVERVIEW

Please provide a general description of the progress made towards the Grant's Objectives and Activities (as per the Project Development Objective(s) Indicator(s) and Intermediary Results Indicator(s) included in the World Bank's Project Paper's Results Framework). Please also highlight critical issues as well as pending actions that may require the PA or the PMR Secretariat's attention.

Next steps

- ◆ Endorsement of PMR Dashboard and PMR Project Implementation Report templates
 - Now, at PA12
- ◆ Work with the M&E WG to finalize the PMR Results Monitoring Report and present it at PA13 or PA14, in accordance with the possible revisions of the Evaluation Framework
- ◆ Invite other ICPs to prepare and present a first PMR Project Implementation Status Report at PA13 (e.g., China, Brazil, Costa Rica, Morocco, Mexico)



Thank You for Your Attention

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