

**Co-chairs' Summary of the Sixteenth Meeting  
of the PMR Assembly (PA16)**

**March 20-22, 2017**

**New Delhi, India**

## OPENING OF THE MEETING

1. The [Sixteenth Meeting of the Partnership Assembly \(PA16\)](#) was opened by the Co-chairs, Ms. Olga Yukhymchuk (Ukraine) and Mr. Philipp Ischer (Switzerland). Mr. Ajay Narayan Jha (Secretary, Ministry of Environment, Forest and Climate Change, India) opened the meeting on behalf of the host country. In his remarks, he highlighted the importance of the PMR's role in supporting India's carbon pricing policy development in the context of achieving its NDC targets and commitments. Mr. Venkata Ramana Putti (Practice Manager, Carbon Markets and Innovation, World Bank Group) welcomed the Partnership Assembly (PA) participants on behalf of the World Bank Group, noting the opportunities for the PMR to continue to support countries to scale up their climate action to meet the ambitions laid out in their NDCs and beyond.
2. The PA adopted the [agenda](#) as proposed by the Co-chairs (Annex I).
3. Finally, the PMR Secretariat provided an [update on work carried out during the inter-sessional period](#).

## INFORMATION SHARING

4. The following countries and organizations presented updates on relevant domestic policy developments or related information:
  - a. **Chile** provided [highlights from the start of its carbon tax](#) ;
  - b. **The Republic of Korea** provided an [update on the current status of the Korean ETS](#);
  - c. **Mexico** provided an [update on its ETS developments](#) ;
  - d. **Sweden** made a presentation on its [climate policy framework](#);
  - e. **The World Bank Group** made a presentation on its [vision, commitments and recent institutional realignment in the climate change domain](#) and provided an [overview of the NDC Partnership Support Facility](#).

## PRESENTATION OF FINAL MRP: INDIA

5. **India** presented its [final MRP](#) to the PA. The [presentation](#), delivered by the Ministry of Environment, Forests and Climate Change, highlighted responses to the feedback received from Participants and the World Bank since the draft version. The presentation also detailed the budget requirements for each activity proposed along with timelines. The proposed activities focus on the development of a meta-registry for facilitating linking and streamlining of existing registries and establishing necessary infrastructure to make India ready to connect future new registries and designing and piloting of a new MBM in a sector that is not covered at present along with exploring options for deepening and broadening existing market instruments that support India's NDC targets. The PA, recognizing that the proposal is

ambitious, is pleased with the efforts India made to incorporate its feedback and for updating the MRP to meet the requirements. Participants, however, reiterated their previous observations on (i) prioritizing and proper sequencing of activities in order to complete all tasks by the end of the PMR's operational phase; (ii) the complexities with implementation of any market based instrument in two sectors identified and timelines needed for piloting; (iii) a need for continuous monitoring of progress on implementation given the tight timelines.

6. Through Resolution No. [PA16/2017-2](#) (Annex II) the PA allocated US\$8 million to India for implementation of its MRP. Participants are invited to provide further written feedback on India's final MRP to the Secretariat by April 14<sup>th</sup>, 2016. As is the case for all Implementing Country Participants, India is invited to take into account any additional feedback provided for the implementation of its PMR activities and to periodically update the PA on the status of its MRP implementation.

#### **PMR OPERATIONS MONITORING AND EVALUATION SYSTEM: UPDATE ON PROGRESS OF PMR ACTIVITIES AND OTHER OPERATIONAL MATTERS**

7. The PMR Secretariat [presented](#) an aggregated progress report on the PMR country programs. The presentation highlighted the status of the MRP preparation and implementation phase and the status of each Implementing country's project milestones based on the [PMR Dashboard](#).
8. Countries that prepared their annual Project Implementation Status Reports (ISRs) ahead of PA16 as part of the PMR Operations Monitoring System ([Chile](#), [China](#), [Costa Rica](#), [Jordan](#), and [Turkey](#)) presented progress and lessons learned from MRP implementation during the PMR Exchange Forum. Several other PMR countries also provided a brief update on the status of the preparation or implementation of their PMR activities.
9. The United Kingdom [presented](#) the main outcomes of its Annual Review of the PMR, which is part of the regular process that the United Kingdom undertakes to monitor the program's progress and key achievements. Similarly, the PMR Secretariat provided an [Update on M&E Activities](#), including plans to launch the second independent evaluation of the PMR. Participants welcomed the presentation and related actions on the M&E activities. In particular, they recognized the relevance of conducting a new independent evaluation to inform the design of the next phase of the PMR. Participants are invited to volunteer to join the M&E Working Group to support the new stream of PMR activities on M&E.

#### **PRESENTATION OF ADDITIONAL FUNDING PROPOSALS: CHILE AND CHINA**

10. **Chile** presented a [Proposal for Additional Funding Allocation](#) for activities that complement its ongoing MRP activities. The [presentation](#) highlighted how the proposed activities are intended to strengthen and build upon the implementation of existing PMR activities and

therefore help achieve Chile's MRP objectives and contribute to the improvement of the readiness for the implementation of carbon pricing instruments. In this regard, the proposed activities focus on the implementation of (i) a roadmap for decision making on future carbon pricing policies in Chile, (ii) a mandatory reporting system across all sectors, (iii) an MRV platform and mitigation recognition program for the energy sector and (iv) an ETS simulator. Participants welcomed Chile's proposal and, in particular, requested additional information on the timeline of the additional activities, their additionality, and plans to address identified barriers and other project-related risks mitigation strategies. Moreover, Participants welcomed the clarity of the Power Point presentation that Chile made during the PA and requested that it be considered part of the proposal package as well, as it provides additional, relevant information on the planned implementation activities and process.

11. **China** presented a [Proposal for Additional Funding Allocation](#) for activities that complement its ongoing MRP activities. The [presentation](#) highlighted how the proposed activities are intended to strengthen and build upon the implementation of existing PMR activities and therefore help achieve China's MRP objectives and contribute to the improvement of the readiness for the implementation of the national ETS. In this regard, the proposed activities focus on reviews and further research on the sectors in order to improve the sectoral benchmarking and default values in the ETS implementation stage (from 2018-2020), and also refine the initial sets of allowance allocation methodologies, and on the carbon market financing management, therefore enabling a fully operational carbon trading market in the future. Participants welcomed China's proposal and, in particular, requested additional information on the benchmarking methodologies, existing and planned MRV systems and the links between the activities under the second component and broader financial regulations reform. Finally, participants also highlighted the importance of the wider dissemination of the proposed activities' related studies and outcomes.
12. Through Resolutions Nos. [PA16/2017-3](#) and [PA16/2017-4](#) (Annex II), allocated US\$2 million to China and Chile, respectively, for implementation of the activities laid out in their Additional Funding Proposals, with the expectation that such PMR activities will be implemented in accordance with the Additional Funding Proposal prior to the end of the PMR operational phase. The PA also invited Chile and China to use best efforts to update and finalize in a timely manner the implementation arrangements for the PMR activities and to periodically update the PA on progress made.

#### **POLICY ANALYSIS WORK PROGRAM: UPDATE AND FY18 WORK PROGRAM**

13. The PMR Secretariat presented a [Report of FY17 Activities and proposed a FY18 Work Plan](#) for the Policy Analysis Work Program (PAWP). The [presentation](#) highlighted the progress made under this work stream, including country-level support that was initiated or ongoing in FY17 (e.g., to Chile, Colombia, Costa Rica, Morocco, Turkey, and Vietnam), as well as program-level

activities, such as the Technical Workshop “Paving the Way for NDC Implementation: Analyzing Policy Options and Modeling Carbon Pricing”, the report on “Interactions between Energy and Carbon Pricing and Policies”, and the scoping of the forthcoming Carbon Pricing Simulation Tool.

14. The presentation also highlighted activities proposed for FY18, acknowledging that new country-level activities under the PAWP would follow the process outlined in the [PMR Note PA16 2017-4](#) on “Strategic Orientation for the Future of the PMR – Proposal for the Use of Additional Funds” in the category of Policy Analysis activities (amended [PMR Note PA14 2016-1](#)). In regard to the program-level activities<sup>1</sup>, the proposed focus will be on the development of the carbon pricing simulation tool and organization of an international workshop.
15. The PA welcomed the proposed FY18 work plan, requesting more information about the status and planned activities around the carbon pricing simulation tool development and indicating that in the future, a more detailed work program should be provided. Following the discussion, the PA endorsed the activities proposed under the PAWP for FY18. The PA is invited to provide written feedback on the Policy Analysis Work Program for FY18 by April 14<sup>th</sup>, 2017.

#### **TECHNICAL WORK PROGRAM: UPDATE AND FY18 WORK PROGRAM**

16. The PMR Secretariat reported on the FY17 activities of the Technical Work Program and proposed a work plan for FY18, as outlined in [PMR Note PA16 2017-2](#). The [presentation](#) highlighted progress since PA15, including the publication of the [Carbon Tax Guide: A Handbook for Policy Makers](#) that was launched at a dedicated technical workshop on the margin of PA16. The presentation also highlighted successful dissemination activities following the finalization of the [Emissions Trading in Practice: A Handbook on Design and Implementation](#), which is now available in English, Chinese, Turkish and Spanish, with translation to other languages underway. Significant progress was also reported on the knowledge products on Benchmarking and Crediting that are expected to be published in May with a further workshop to cover these topics planned on the margins of the Innovate for Climate conference in May 2017.
17. The presentation also highlighted proposed activities for FY18 under the following work streams: ETS, carbon tax, offsets and crediting, MRV, data management and registries, baselines, benchmarks, and stakeholder engagement and preparedness. The technical work program will also include a range of activities and use of various delivery modes, such as knowledge products, country-to-country exchanges, technical workshops, technical trainings, webinars and e-Learning.

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<sup>1</sup> Program-level activities under the PAWP complement country-level support by addressing analytical/modeling topics and issues with strong international dimensions and cross-country implications, responding to policy questions that are of common interests among the ICPs, and providing peer advice and facilitating technical exchange among the participants and a wider group of experts and practitioners.

18. The PA welcomed the proposed FY18 work plan and endorsed the activities with a budget of US\$1.1 million. The PA is invited to provide written feedback on the Technical Work Program for FY18 by April 14<sup>th</sup>, 2017.

#### **CONSULTATION ON THE NEXT PHASE OF THE PMR**

19. As a follow up to the first brainstorming session on the next phase of the PMR, the Secretariat [presented](#) a Discussion Paper ([PMR Note PA16-2017-3](#)). The Discussion Paper was prepared based on the inputs received during the first brainstorming session on preparations for a potential next phase of the PMR (“PMR Phase II”), which took place in October 2016 in Hanoi (at PA15). The Discussion Paper also incorporated the Participants’ feedback received during a series of additional consultations with the Contributing Participants and Implementing Country Participants, which were undertaken during the intersessional period.
20. The Discussion Paper and related presentation outlined possible options for defining the objectives, scope, delivery modes and governance framework principles of the next phase of the PMR. In addition, the Discussion Paper also included proposed next steps, which are aimed at operationalizing PMR Phase II in 2019.
21. The Participants welcomed the Secretariat’s effort to further facilitate the discussions in regard to PMR Phase II and provided feedback on a number of aspects related to the options going forward. The Participants generally agreed about the relevance and proposed scope of work for the PMR Phase II and welcomed the proposed focus on supporting countries to implement carbon pricing instruments, while recognizing that some countries would still need technical and financial assistance to complete their readiness roadmaps.
22. With regards to the proposed governance principles, some Participants favored the option of maintaining the existing governance and processes (i.e., collective decision-making), while others highlighted their preference for a more efficient structure, allowing for increased flexibility while keeping some of the features of the existing Partnership and shared governance approach. Conversely, others expressed the view that most of the decisions, in particular those related to the allocation of funding, should be made by the Participants that contribute financially to the PMR Trust Fund.
23. At the same time, Participants emphasized that the PMR would need to ensure continuity of efforts by building on the lessons learned from the first phase of its operations and enhancing the implementation of the activities and related processes in the future, while maintaining the features of collective innovation, knowledge sharing and country-driven initiative. Participants further highlighted the importance of carrying out the second independent evaluation of the PMR and ensuring that its findings are incorporated in the discussion on the next phase of the Partnership. Some Participants also acknowledged that certain existing PMR countries could

possibly move from assistance-receiving status to being knowledge-providers. Lastly, Participants provided feedback on the proposed timeline and next steps highlighting that the draft timeline could be too ambitious for completion of all necessary steps.

24. The PA requested the PMR Secretariat to take into account the received feedback when revising the Discussion Paper, which is expected to be presented at the subsequent PA meeting (PA16), as well as carry out a number of consultations in the intersessional period. To this end, the PA IS also invited to provide further comments on the Discussion Paper to the PMR Secretariat by April 14<sup>th</sup>, 2017.

#### **PROPOSAL TO INCLUDE ADDITIONAL TECHNICAL PARTNERS**

25. Given the availability of funds under the current phase of the PMR and following the indication from several countries interested in benefitting from technical assistance from the PMR, the Secretariat [presented](#) a proposal to amend the existing process to add Technical Partners (eligible to receive technical assistance) by allowing up to 6 countries to join the PMR as assistance-receiving Technical Partners. The revised proposal was reflected in the [PMR Note PA16-2017-4](#), which represents the amendment to the original Note in which the Process was endorsed ([PMR Note PA14 2016-1](#)).
26. The Participants welcomed the proposal and highlighted the need to clarify that any support to prospective Technical Partners should be focused on carbon pricing and, therefore, not divert from the overall PMR focus and scope. In addition, they also emphasized the need to carefully manage the expectations of the prospective Technical Partners in regard to their potential involvement in PMR Phase II. With that in mind, the PA, through Resolution No. [PA16/2017-6](#), adopted the revised PMR Note PA16 2017-3 on “Strategic Orientation for the Future of the PMR – Proposal for the Use of Additional Funds” (the “Revised Note”) and related proposal regarding the possibility to include additional Technical Partners.

#### **FISCAL YEAR 2018 BUDGET**

27. The Secretariat [presented](#) a review of the PMR expenses in FY17 and proposed a budget for FY18 for consideration and approval from the PA. This analysis, including an overview of the PMR’s sources of funding, the PMR’s use of funds during FY17, a proposed budget for FY18, and a long-term plan (LTP) up to 2020 is included in [PMR Note PA16-2017-5](#). The PA – through Resolution No. [PA16/2017-1](#) (Annex II) – approved a budget of US\$ 6,942,000 for FY18.

#### **CO-CHAIRS**

28. The PA and PMR Secretariat thanked Ms. Olga Yukhymchuk (Ukraine) and Mr. Philipp Ischer (Switzerland) for serving as co-chairs for the past two PA meetings. Implementing Country and Contributing Participants elected Ms. Zeren Erik (Turkey) and Mr. Kazuhisa Koakutsu (Japan) respectively, to co-chair the next two PA meetings.

## MEETING DOCUMENTS

29. Final presentations, resolutions and other meeting documents are available on the PMR website: <https://www.thepmr.org/events/eventlist/pa/partnership-assembly-meeting-pa16-new-delhi>. Participants should note that some materials may require a password login for viewing.

## DEADLINES FOR COMMENTS, FEEDBACK, AND SUBMISSIONS

30. Participants were invited to provide written feedback to the PMR secretariat **by April 14<sup>th</sup>, 2017** on the following documents:
- Draft Discussion Paper on the Next Phase of the PMR (PMR Note PA16 2017-3); and
  - PMR Secretariat Presentation on Next Steps and Calendar of Events.
31. In addition, Participants were welcomed to provide any additional feedback on the following items that were discussed and endorsed at PA16, to further inform the implementation of activities going forward:
- India's Final Market Readiness Proposal;
  - Chile's Proposal for Additional Funding;
  - China's Proposal for Additional Funding; and
  - Thailand's Proposal for Additional Funding.

## UPCOMING MEETINGS AND EVENTS

32. The [schedule](#) for the upcoming PMR events is summarized below. The dates and location for some of them are yet to be finalized:
- Technical Workshop(s) on Baselines, Benchmarking, and on the Operationalization of Article 6 of the Paris Agreement (*May 22-23, 2017 (I4C) – Barcelona, Spain*)
  - PA17 + Strategic Dialogues + Technical Workshop (*October 16-20, 2017 (tentative) – Tokyo, Japan*)
  - Technical Workshop on Analysis/Modeling (*December 2017 - date and location to be confirmed*)



## Annex I

### Sixteenth Meeting of the Partnership Assembly (PA16) —Agenda

**March 20-22, 2017**

**New Delhi, India**

#### Day 1

Session 1 – PA16 Opening and Introduction	
8:30	Registration: Welcome coffee and badge pick-up
9:00	<p>Opening remarks</p> <ul style="list-style-type: none"> <li>- Ms. Olga Yukhymchuk (Ukraine) and Mr. Philipp Ischer (Switzerland), <i>PMR Co-chairs</i></li> <li>- Mr. Ajay Narayan Jha, Secretary, Ministry of Environment, Forest and Climate Change, India</li> <li>- Mr. Venkata Ramana Putti, Practice Manager, Carbon Markets and Innovation, World Bank Group</li> <li>- Adoption of the agenda</li> </ul>
9:45	- PMR Update and Progress Report ( <i>Mr. Adrien de Bassompierre, PMR Secretariat</i> )
Session 2 – Information Sharing	
<p>PMR Participants and Observers are encouraged to share relevant policy developments in their jurisdictions or areas of work. Updates may be done through short PowerPoint presentations or oral briefings.</p>	
10:00	<ul style="list-style-type: none"> <li>- Chile: Highlights from the Start of Carbon Tax (<i>Mr. Juan Pedro Searle, Ministry of Energy, Chile</i>)</li> <li>- Republic of Korea: Update on the Recent Policy Developments (<i>Ms. Minyoung Kim, Greenhouse Gas Inventory and Research Center, Republic of Korea</i>)</li> <li>- Mexico: Update on ETS Development (<i>Mr. Victor Hugo Escalona Gomez, Secretariat of Environment and Natural Resources, Mexico</i>)</li> <li>- Sweden: Update on Sweden’s Climate Change Law (<i>Ms. Moa Forstorp, Swedish Energy Agency, Sweden</i>)</li> <li>- World Bank Group: Vision, Commitments and Institutional Realignment for Delivering Results on Climate Change (<i>Mr. Venkata Ramana Putti, Practice Manager, Carbon Markets and Innovation, World Bank Group</i>)</li> <li>- NDC Support: Overall Policy and Programmatic Landscape (<i>Mr. Stephen Hammer, Practice Manager, Climate Research and Analytics, World Bank Group</i>)</li> </ul>

<b>12:15</b>	<b>Lunch</b>
<b>Session 3 – Presentation of Final MRP: India</b>	
<p>India presented a draft MRP at PA15 in Hanoi, Vietnam, for feedback from the PA. Based on this feedback, India has revised its MRP and will present the core elements of its final MRP, highlighting any significant changes from the draft version. Following the presentation, there will be time for clarifying questions and discussion. The PA will consider this version of the MRP for a funding allocation decision. Adoption of a resolution on funding allocation will be made under agenda item 11.</p>	
<b>13:45</b>	<ul style="list-style-type: none"> <li>- Presentation of India’s final MRP (<i>Shri Ravi S Prasad, Joint Secretary, Ministry of Environment, Forest and Climate Change, India</i>) <ul style="list-style-type: none"> <li>o Discussion and feedback</li> </ul> </li> </ul>
<b>14:45</b>	<b>Coffee Break</b>
<b>Session 4 – Monitoring and Evaluation: Update on Progress of PMR Country Activities and Other Operational Matters</b>	
<p>During this session, the PMR Secretariat will present a brief progress report on overall PMR country activities, and representatives from Chile, China, Costa Rica, Jordan and Turkey will be available to provide updates on the status of their PMR project during the “PMR Exchange Forum.” Finally, the Secretariat will provide an update on the activities related to the PMR Results Framework, including seeking the PA’s feedback on the plan to launch the second independent evaluation of the PMR.</p>	
<b>15:15</b>	<ul style="list-style-type: none"> <li>- Progress Report on Country Programs (<i>Mr. Adrien de Bassompierre, PMR Secretariat</i>)</li> <li>- Update on progress in Implementing Countries</li> <li>- PMR Exchange Forum: Interactive session on PMR projects based on Implementation Status Reports (ISRs) from Chile, China, Costa Rica, Jordan and Turkey</li> <li>- Update on the PMR evaluation-related activities: <ul style="list-style-type: none"> <li>o Update on the most recent PMR Annual Review performed by the UK (<i>Ms. Lindsey Hibberd, DEIS, UK</i>)</li> <li>o Discussion the launch of the 2<sup>nd</sup> Independent Evaluation of the PMR and other related M&amp;E activities (<i>Mr. Adrien de Bassompierre, PMR Secretariat</i>)</li> </ul> </li> </ul>
<b>17:45</b>	<b>Day 1 Wrap-up</b>

**Day 2**

<b>Session 5 – Presentation of Additional Funding Proposals: Chile and China</b>	
<p>The PMR Secretariat adopted a proposal for the use of additional funds under the PMR at PA14, which described the process and criteria for allocating additional funding to the PMR Participants for the activities to complement their existing MRPs and/or policy analysis-related activities. Following the PA's feedback on Chile and China's proposals for additional activities, the PA will consider revised proposals from the two countries for funding allocation decisions. Adoption of a resolution on funding allocation will be made under agenda item 11.</p>	
8:30	<ul style="list-style-type: none"> <li>- Overview of the Process for Additional Funding Allocations (<i>Ms. Maja Murisic, PMR Secretariat</i>)</li> <li>- Presentation of Chile's Additional Funding Proposal (<i>Mr. Juan Pedro Searle, Ministry of Energy, Chile</i>)               <ul style="list-style-type: none"> <li>o Discussion and feedback</li> </ul> </li> <li>- Presentation of China's Additional Funding Proposal (<i>Mr. Liu Feng, Department of Climate Change, NDRC, China</i>)               <ul style="list-style-type: none"> <li>o Discussion and feedback</li> </ul> </li> </ul>
<b>10:30</b>	<b>Coffee Break</b>
<b>Session 6 – Policy Analysis Work Program: Status Update and FY18 Work Plan</b>	
<p>The Policy Analysis Work Program supports countries to refine and implement their NDCs, through capacity building and analysis/modeling of policy instruments focusing on carbon pricing. The PMR Secretariat will present the overall progress made under this work stream since PA15 and the proposed work program for fiscal year 2018 (Note PA16-2017-1) for the PA's feedback and endorsement.</p>	
11:00	<ul style="list-style-type: none"> <li>- PMR Policy Analysis Work Program: Status Update and FY18 Work Program (<i>Mr. Adrien de Bassompierre, PMR Secretariat</i>)               <ul style="list-style-type: none"> <li>o Discussion and feedback</li> </ul> </li> <li>- Presentation of Thailand's Policy Analysis Funding Proposal (<i>Ms. Puttipar Rotkittikhun, TGO, Thailand</i>)               <ul style="list-style-type: none"> <li>o Discussion and feedback</li> </ul> </li> </ul>
<b>12:30</b>	<b>Lunch</b>
<b>Session 7 – Technical Work Program: Status Update and FY18 Work Plan</b>	
<p>The Technical Work Program supports countries on issues that are common across many of them and where they can benefit from technical assistance provided in a comprehensive and programmatic manner. The PMR Secretariat will present the overall progress made under this work stream since PA15 and the proposed work program for fiscal year 2018 (Note PA16-2017-2) for the PA's feedback and endorsement.</p>	
13:30	<ul style="list-style-type: none"> <li>- PMR Technical Work Program: Status Update and FY18 Work Program (<i>Ms. Pauline Kennedy and Mr. Harikumar Gadde, PMR Secretariat</i>)               <ul style="list-style-type: none"> <li>o Discussion and feedback</li> </ul> </li> </ul>

<b>14:30</b>	<b>Coffee break</b>
<b>Session 8 – Consultation Session on the Next Phase of the PMR</b>	
<p>Following the first brainstorming session on the next phase of the PMR, which took place at PA15 in Vietnam, and the Participants’ request for additional exchanges during the intersessional period, the PMR Secretariat organized a series of consultations with the Participants in order to seek their initial feedback. The outcomes of these consultations were incorporated in a Discussion Paper (Note PA16-2017-3), which outlines options for defining the objectives, scope, delivery modes and governance framework principles of the next phase of the PMR. The PMR will present these options for discussion and PA’s feedback.</p>	
<b>15:00</b>	<ul style="list-style-type: none"> <li>- Presentation of the Discussion Paper on the Next Phase of the PMR (<i>Mr. Venkata Ramana Putti and Ms. Maja Murisic, PMR Secretariat</i>) <ul style="list-style-type: none"> <li>o Discussion and Feedback</li> </ul> </li> </ul>
<b>17:30</b>	<b>Day 2 Wrap-up</b>

### Day 3

<b>Session 9 – Proposal to Include Additional Technical Partners</b>	
<p>The PMR Governance Framework allows for “Technical Partners”, i.e. countries or sub-national jurisdictions that are at an advanced stage of carbon mitigation policy development, to join the PMR as Participants. As part of the discussions on the Strategic Direction of the PMR at PA14, the Participants endorsed a proposal to expand the Partnership with a maximum of 2 new Technical Partners (eligible to receive technical assistance) by October 2017. Given the overall availability of funds under the current phase of the PMR and following the indication from several countries that they would be interested in benefitting from technical assistance from the PMR, the Secretariat proposed to revise the process to allow up to 6 countries to join the PMR as assistance-receiving Technical Partners (Note PA16-2017-4), albeit with limited scope for the type of supported activities and lesser maximum funding. The Secretariat will present this proposal for the PA’s feedback and endorsement.</p>	
<b>9:00</b>	<ul style="list-style-type: none"> <li>- Proposal to include additional Technical Partners (<i>Mr. Adrien de Bassompierre, PMR Secretariat</i>) <ul style="list-style-type: none"> <li>o Discussion and feedback</li> </ul> </li> </ul>
<b>Session 10 – Budget</b>	
<p>The PMR Secretariat will present a review of expenditures during fiscal year 2017 and propose a budget for fiscal year 2018, for approval by the PA, as outlined in PMR Note PA16 2017-5.</p>	

10:00	<ul style="list-style-type: none"> <li>- Presentation of FY17 Expenses and Budget for FY18 (<i>Ms. Maja Murisic, PMR Secretariat</i>) <ul style="list-style-type: none"> <li>o Discussion and Feedback</li> </ul> </li> </ul>
<b>11:00</b>	<b>Coffee Break</b>
<b>Session 11 – Next Steps and Adoption of Decisions</b>	
11:30	<ul style="list-style-type: none"> <li>- Next Steps: PMR Calendar of Upcoming Events (<i>Mr. Adrien de Bassompierre, PMR Secretariat</i>)</li> <li>- Elections of Co-Chairs</li> <li>- Adoption of Decisions by the PA</li> </ul>
<b>Session 12 – PA16 Closing</b>	
12:00	<ul style="list-style-type: none"> <li>- Mr. R. R. Rashmi, Special Secretary, Ministry of Environment, Forest and Climate Change, India</li> <li>- Mr. Venkata Ramana Putti, Practice Manager, Carbon Markets and Innovation, World Bank Group</li> </ul>

**Annex II**

**PA16 Resolutions**

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**Resolution No. PA16/2017-1**

**Authorizing Budget for Fiscal Year 2018**

**WHEREAS:**

1. Pursuant to Section 4.3(d) of the PMR Governance Framework, the Partnership Assembly's roles and responsibilities include approving the budget for the operation of the PMR; and
2. The PMR Secretariat presented the proposed budget for Fiscal Year 2018 for consideration by the Partnership Assembly at this meeting.

**THE PARTNERSHIP ASSEMBLY:**

Approves the budget for PMR operation for Fiscal Year 2018, presented by the PMR Secretariat at this meeting and attached as Annex to this resolution.

**ANNEX**

**Budget for Fiscal Year 2018**

<b>Use of Funds</b>	<b>FY17 Budget</b>	<b>FY17 Actual Expenses*</b>	<b>FY18 Proposed Budget</b>
<b>PA Meetings and Workshops</b>	<b>656</b>	<b>557</b>	<b>640</b>
<b>Country Delivery Support and Advisory Services</b>	<b>2,543</b>	<b>2,025</b>	<b>2,007</b>
<i>MRP Expert Feedback Process</i>	70	42	50
<i>Country Delivery Support</i>	2,473	1,983	1,957
<i>o/w: Secretariat Support</i>	1,513	1,167	1,127
<i>Delivery Partner Support</i>	960	816	830
<b>Knowledge Management</b>	<b>1,303</b>	<b>1,116</b>	<b>1,079</b>
<b>Policy Analysis Work Program</b>	<b>2,004</b>	<b>1,869</b>	<b>2,222</b>
<b>PMR Management and Communications</b>	<b>768</b>	<b>744</b>	<b>844</b>
<b>Contingency</b>	<b>150</b>	<b>150</b>	<b>150</b>
<b>Total Use of Funds</b>	<b>7,424</b>	<b>6,461</b>	<b>6,942</b>

\* FY17 Actual Expenses cover expenses incurred from July 1st, 2016 until February 28, 2017.

## **Resolution No. PA16/2017-2**

### **Allocation of Implementation Phase Funding to India**

#### **WHEREAS:**

1. The Partnership Assembly (PA), through Resolution No. PA3/2012-1, allocated the PMR Preparation Phase Funding to India on May 29, 2012 to develop its Market Readiness Proposal (MRP);
2. India submitted an initial draft MRP to the PMR Secretariat on August 9, 2016. Pursuant to Resolution PA2/2011-3, the PMR Secretariat organized the expert feedback process to enhance the quality of the MRP. Based on the feedback and input from the PMR experts, the World Bank as well as the PMR Secretariat, India revised the MRP and presented its draft MRP at PA15 on October 20, 2016 to seek feedback from PMR Participants. On the basis of verbal comments expressed at PA15 and subsequently written comments by PMR Participants, India further revised the MRP and submitted the final version to the PMR Secretariat for circulation to PMR Participants on March 27, 2017.
3. Pursuant to Section 4.3(c) of the PMR Governance Framework, the PA is responsible for making a decision on the allocation of PMR funding to the Implementing Country Participants, in the absence of the establishment of a Partnership Committee;
4. The PA considered the final version of the MRP presented by India at this meeting and acknowledged improvements made by India in preparing and finalizing the MRP.

#### **THE PARTNERSHIP ASSEMBLY:**

1. Decides, in accordance with the criteria included in Resolution No. PA 3/2012-4, to allocate Implementation Phase Funding to India in the amount of US\$ 8,000,000 for carrying out PMR activities identified in the MRP, with the expectation that such PMR activities will be implemented in accordance with the MRP prior to the end of the PMR operational phase.
2. Invites (i) PMR Participants to provide comments, if any, on India's MRP by April 14, 2017 and (ii) India to take into account such comments, as well as the comments received during this meeting, during implementation of its MRP.
3. Invites India and the Delivery Partner to use best efforts to finalize in a timely manner the implementation arrangements for the PMR activities and to periodically update the PA on progress made in the implementation thereof, in accordance with the requirements under the PMR Operations Monitoring System as included in the PMR Results Framework (PMR Note PA7 2013-3; adopted as per Resolution PA7/2013-2) and specified in PMR Note PA12 2015-6.



**Resolution No. PA16/2017-3**  
**Allocation of additional Implementation Phase Funding to China**

**WHEREAS:**

1. The Partnership Assembly (PA), through Resolution No. PA1/2011-4, allocated the PMR Preparation Phase Funding to China on May 31, 2011 to develop its Market Readiness Proposal (MRP). The PA, through Resolution No. PA5/2013-3, allocated the PMR Implementation Phase Funding (US\$ 8,000,000) to China on March 15, 2013 to implement its MRP;
2. China regularly updated the PA on its progress in implementing its MRP and provided the PA with a 'Project Implementation Status Report' at PA14 (April 2016). At this meeting, China has presented to the PA a proposal for additional Implementation Phase Funding;
3. Pursuant to Section 4.3(c) of the PMR Governance Framework, the PA is responsible for making a decision on the allocation of PMR funding to the Implementing Country Participants, in the absence of the establishment of a Partnership Committee;
4. The PA considered China's proposal for additional Implementation Phase Funding, as presented at this meeting (Additional Funding Proposal), and acknowledged the progress made by China in implementing its MRP.

**THE PARTNERSHIP ASSEMBLY:**

1. Decides, in accordance with the criteria included in Resolution No. PA 14/2016-7, to allocate additional Implementation Phase Funding to China in the amount of US\$ 2,000,000 to carry out PMR activities identified in the Additional Funding Proposal, with the expectation that such PMR activities will be implemented in accordance with the Additional Funding Proposal prior to the end of the PMR operational phase.
2. Invites China and the Delivery Partner to use best efforts to update and finalize in a timely manner the implementation arrangements for the PMR activities and to periodically update the PA on progress made in the implementation thereof, in accordance with the requirements under the PMR Operations Monitoring System as included in the PMR Results Framework (PMR Note PA7 2013-3; adopted as per Resolution PA7/2013-2) and specified in PMR Note PA12 2015-6.

## **Resolution No. PA16/2017-4**

### **Allocation of additional Implementation Phase Funding to Chile**

#### **WHEREAS:**

1. The Partnership Assembly (PA), through Resolution No. PA1/2011-4, allocated the PMR Preparation Phase Funding to Chile on May 31, 2011 to develop its Market Readiness Proposal (MRP). The PA, through Resolution No. PA5/2013-1, allocated the PMR Implementation Phase Funding (US\$ 3,000,000) to Chile on March 15, 2013 to implement its MRP;
2. Chile regularly updated the PA on its progress in implementing its MRP and provided the PA with 'Project Implementation Status Reports' at PA12 (May 2015) and at PA14 (April 2016). At this meeting, Chile has presented to the PA a proposal for additional Implementation Phase Funding;
3. Pursuant to Section 4.3(c) of the PMR Governance Framework, the PA is responsible for making a decision on the allocation of PMR funding to the Implementing Country Participants, in the absence of the establishment of a Partnership Committee;
4. The PA considered Chile's proposal for additional Implementation Phase Funding, as presented during this meeting (Additional Funding Proposal), and acknowledged the progress made by Chile in implementing its MRP.

#### **THE PARTNERSHIP ASSEMBLY:**

1. Decides, in accordance with the criteria included in Resolution No. PA 14/2016-7, to allocate additional Implementation Phase Funding to Chile in the amount of US\$ 2,000,000 to carry out PMR activities identified in the Additional Funding Proposal, with the expectation that such PMR activities will be implemented in accordance with the Additional Funding Proposal prior to the end of the PMR operational phase.
2. Invites Chile and the Delivery Partner to use best efforts to update and finalize in a timely manner the implementation arrangements for the PMR activities and to periodically update the PA on progress made in the implementation thereof, in accordance with the requirements under the PMR Operations Monitoring System as included in the PMR Results Framework (PMR Note PA7 2013-3; adopted as per Resolution PA7/2013-2) and specified in PMR Note PA12 2015-6.

## **Resolution No. PA16/2017-5**

### **Allocation of additional Implementation Phase Funding to Thailand**

#### **WHEREAS:**

1. The Partnership Assembly (PA), through Resolution No. PA1/2011-4, allocated the PMR Preparation Phase Funding to Thailand on May 31, 2011 to develop its Market Readiness Proposal (MRP). The PA, through Resolution No. PA8/2014-2, allocated the PMR Implementation Phase Funding (US\$ 3,000,000) to Thailand on March 5, 2014 to implement its MRP;
2. Thailand regularly updated the PA on its progress in implementing its MRP and provided the PA with a 'Project Implementation Status Report' at PA15 (October 2016). At this meeting, Thailand has presented to the PA a proposal for additional Implementation Phase Funding;
3. Pursuant to Section 4.3(c) of the PMR Governance Framework, the PA is responsible for making a decision on the allocation of PMR funding to the Implementing Country Participants, in the absence of the establishment of a Partnership Committee;
4. The PA considered Thailand's proposal for additional Implementation Phase Funding, as presented during this meeting (Additional Funding Proposal), and acknowledged the progress made by Thailand in implementing its MRP.

#### **THE PARTNERSHIP ASSEMBLY:**

1. Decides, in accordance with the criteria included in Resolution No. PA 14/2016-7, to allocate additional Implementation Phase Funding to Thailand in the amount of US\$ 500,000 to carry out PMR activities identified in the Additional Funding Proposal, with the expectation that such PMR activities will be implemented in accordance with the Additional Funding Proposal prior to the end of the PMR operational phase.
2. Invites Thailand and the Delivery Partner to use best efforts to update and finalize in a timely manner the implementation arrangements for the PMR activities and to periodically update the PA on progress made in the implementation thereof, in accordance with the requirements under the PMR Operations Monitoring System as included in the PMR Results Framework (PMR Note PA7 2013-3; adopted as per Resolution PA7/2013-2) and specified in PMR Note PA12 2015-6.

## **Resolution No. PA16/2017-6**

### **Adoption of (revised) PMR Note PA16 2017-3 on “Strategic Orientation for the Future of the PMR – Proposal for the Use of Additional Funds”**

#### **WHEREAS:**

1. Pursuant to Section 4.3(f) of the PMR Governance Framework, the Partnership Assembly (PA)’s roles and responsibilities include deciding on matters related to the operation of the PMR; and
2. The (initial) PMR Note PA14 2016-1 on “Strategic Orientation for the Future of the PMR – Proposal for the Use of Additional Funds” (the “Initial Note”) was adopted at PA14 as per Resolution PA14/2016-7. This (revised) PMR Note PA16 2017-3 on “Strategic Orientation for the Future of the PMR – Proposal for the Use of Additional Funds” (the “Revised Note”), intended to amend and replace the Initial Note, has been presented by the PMR Secretariat for consideration by the PA at this meeting.

#### **THE PARTNERSHIP ASSEMBLY:**

1. Decides to adopt the Revised Note, as attached in the Annex to this Resolution and to amend and replace the Initial Note, and requests the PMR Secretariat to operate the PMR accordingly.

**ANNEX**

[PMR Note PA16 2017-4](#)