PMR OPERATIONS MONITORING SYSTEM

Mr. Taisei Matsuki and Mr. Adrien de Bassompierre
PMR Secretariat

PA10 – Santiago, Chile
November 3, 2014
Presentation Outline

I. Background
II. Objectives and Scope
III. Tools
IV. Design
V. Timing and Frequency of Reporting
VI. Roles and Responsibilities
VII. Next steps
Background

First Independent Evaluation

EVALUATION performed mainly at the global level

PMR Performance Evaluation System

Periodic Evaluations

PMR Results Framework

MONITORING performed at the country level

PMR Operations Monitoring System

PMR Dashboard + MRP Implementation Reports

World Bank Grant Reporting and Management (GRM) Tool

Evaluation Framework

World Bank Group

Climate Change
Why now?

- **Preparation phase** of the MRP process is coming to an end and entering its **implementation phase**
  - PA has reviewed 12 MRPs:
    - 11 have been endorsed and have received Implementation Funding;
    - Only 5 MRPs remain to be presented.
  - The PA has also allocated funding to one Technical Partner (Kazakhstan) for Targeted Technical Support.

- Time is suitable for the PA and the PMR Secretariat to agree on the design of the PMR Operations Monitoring System.
Objective and Scope (1)

- Monitoring the operations of the PMR = responsibility of the PA

- Primary objectives of the Operations Monitoring System:
  1. Support the PA to efficiently monitor the progress of MRP activities in each of the ICPs, in accordance with the grant agreements
  2. To share experiences and lessons learnt through their implementation

- Results:
  - ICPs: Identify areas for enhancement and take necessary actions to improve performance
  - PA and the PMR Secretariat: Identify areas for possible additional expertise to be provided to ICPs
    - Immediate term: Reinforce the implementation of ICPs’ MRP activities
    - Longer term: Establish a relevant work program for a future phase of the PMR
Objective and Scope (2)

- Key tool to help the PA and the PMR Secretariat understand the progress made in the implementation of the MRPs

- Other objectives at the heart of performance-based management:
  - Supporting the PMR's knowledge management objectives
  - Providing a basis for communication and adaptive management
  - Informing needs from the PMR Technical Work Program and the Upstream Policy Analysis work stream or possibly additional support beyond MRP implementation
Tools

1. **PMR Dashboard**
   - Allows for the monitoring of key (mainly administrative) milestones of the PMR process in all Implementing Country Participants

2. **MRP Implementation Reports**
   - Provide an overview of the progress made in each ICP toward reaching the objectives outlined in its MRP
   - Provide information on the progress made against the indicators contained in the World Bank’s Project Appraisal Document (PAD), which reflects the activities presented in the MRP
   - May draw directly upon the information gathered from the Grant Reporting and Monitoring (GRM) application

◆ Tools will enable regular and consistent reporting of PMR results at a global level, through the aggregation of monitoring data at the country level
# PMR Dashboard - Preparation Phase Milestones

**as of October 17, 2014**

<table>
<thead>
<tr>
<th>Implementing Country</th>
<th>EoI Endorsement Date</th>
<th>OF Endorsement Date</th>
<th>Expert Review Process Completed</th>
<th>Draft MRP Presentation Date</th>
<th>Final MRP Endorsement Date</th>
<th>MRP Implementation Grant Allocation ($3, 5 or 8 million)</th>
<th>Grant Agreement Signed</th>
<th>First Procurement Process Launched</th>
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<tbody>
<tr>
<td>Brazil</td>
<td>10/27/11 (PA2)</td>
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<th>Technical Partner</th>
<th>Status Endorsement Date</th>
<th>OF Endorsement Date</th>
<th>Expert Review Process Completed</th>
<th>Draft MRP Presentation Date</th>
<th>Final Proposal for Targeted Technical Support Endorsement Date</th>
<th>Allocation for Targeted Technical Support</th>
<th>Grant Agreement Signature Date</th>
<th>First Procurement Process Launched</th>
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PMR Dashboard (2)

PMR DASHBOARD - PREPARATION PHASE
as of October 17, 2014

- Implementation Grant Agreements finalized
  - 2011: 1
  - 2012: 2

- Final MRPs endorsed
  - 2011: 6
  - 2012: 5

- Draft MRPs presented
  - 2011: 4
  - 2012: 3
  - 2013: 5

- OFs endorsed
  - 2011: 9
  - 2012: 6
  - 2013: 1
  - 2014: 1

- New Technical Partners
  - 2011: 1

- New Implementing Countries
  - 2011: 15
  - 2013: 1
  - 2014: 1
## PMR Dashboard - PMR Process Status and Issues

**as of October 17, 2014**

<table>
<thead>
<tr>
<th>Implementing Country / Technical Partner</th>
<th>Final MRP Submission Date</th>
<th>Comments/Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Brazil</td>
<td>09/19/2014 (Electronic)</td>
<td>Final MRP was endorsed electronically on September 19, 2014. Brazil is now discussing grant implementation arrangements with the World Bank to launch the activities presented in the MRP.</td>
</tr>
<tr>
<td>Chile</td>
<td>03/13/13 (PA6)</td>
<td>Grant agreement signed by the World Bank and the Government of Chile.Grant effectiveness pending approval by the Contraloría de la República. Procurement plan is ready and first terms of reference for setting up the project management unit are under preparation.</td>
</tr>
<tr>
<td>China</td>
<td>03/13/13 (PA6)</td>
<td>Grant agreement has been finalized and is pending signature by China’s Ministry of Finance. The terms of reference for the procurement of services for the study on the proposal on methodologies of scope, coverage, caps setting and allocation for the ETS have been completed. The request for proposals has been initiated by NORC.</td>
</tr>
<tr>
<td>Colombia</td>
<td>05/26/14 (PA9)</td>
<td>Final MRP endorsed at PA9. Colombia is working to finalize the grant agreement with the World Bank and launch the activities presented in the MRP.</td>
</tr>
<tr>
<td>Costa Rica</td>
<td>03/13/13 (PA6)</td>
<td>Grant agreement is being finalized, pending final decisions on institutional arrangements and fiduciary responsibilities to channel funding. Procurement plan is being finalized and first terms of reference for MRP activities are under preparation.</td>
</tr>
<tr>
<td>India</td>
<td>PA12*</td>
<td>Draft MRP is at a very advanced stage of preparation and is awaiting full review and endorsement by the new Administration.</td>
</tr>
<tr>
<td>Indonesia</td>
<td>10/22/13 (PA7)</td>
<td>Progress on grant implementation arrangements is pending a full program review and agreement with the new Government on engagement areas.</td>
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<tr>
<td>Jordan</td>
<td>PA15*</td>
<td>Terms of reference for the procurement of services for the preparation of Jordan’s MRP and the related procurement process were completed. Contracts with the consultants that will help the Government of Jordan prepare its MRP and coordinate the effort at national level have been signed. The mission to launch the preparation of the MRP is scheduled for the week of November 10.</td>
</tr>
<tr>
<td>Mexico</td>
<td>03/13/13 (PA6)</td>
<td>Grant agreement is being finalized, pending final decisions on institutional arrangements and fiduciary responsibilities to channel funding. Procurement plan is being finalized and first terms of reference for setting up the project management unit are under preparation. Technical committees for the supervision of each JAMRA have been set up and have reviewed the terms of reference for the planned activities under the MRP.</td>
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<tr>
<td>Morocco</td>
<td>05/26/14 (PA9)</td>
<td>Final MRP was endorsed at PA9. Morocco is working to finalize the grant agreement with the World Bank and to launch the activities presented in the MRP. Funding for MRP activities is to be included in the budget for calendar year 2015.</td>
</tr>
</tbody>
</table>
1. Summary Information
2. General Introduction
3. Summary of Report
4. Overview
5. Outcome
6. Output and Implementation by Component
7. MRP Specific Questions
8. Disbursements
9. Issues and Challenges
10. Lessons Learned
Design
Timing and Frequency of Reporting

**January:**
PMR Secretariat creates/updates templates for MRP IRs

**February-March:**
Countries prepare and submit MRP IRs, with support from the Delivery Partners

**PA Meeting #3 (October):**
- Countries present MRP IRs
- PMR Secretariat provides semi-annual update on Operations Monitoring Report to PA

**July-October:**
Countries prepare and submit MRP IRs, with support from the Delivery Partners

**PA Meeting #1 (March):**
Countries present MRP IRs

**PA meeting #2 (May/June):**
- Countries present MRP IRs
- PMR Secretariat provides annual Operations Monitoring Report to PA

**April-May:**
Countries prepare and submit MRP IRs, with support from the Delivery Partners
Roles and Responsibilities

◆ **PA:**
  - Serve as a forum for information and knowledge exchange around reported results
  - Ensure overall performance oversight
  - Provide support to the ICPs to ensure high-quality and timely results

◆ **ICPs:**
  - Provide information on the progress made against their MRP objectives
  - Share experience and lessons learned

◆ **Delivery Partners:**
  - Provide support to ICPs, in collaboration with the PMR Secretariat, to ensure that PMR funding is effectively and efficiency used
  - Implement with ICPs their own results-based reporting using the GRM

◆ **PMR Secretariat:**
  - Collect and aggregate data for the purpose of annual progress reporting to the PA
  - Provide additional guidance for ICPs progress reporting
  - Help outline the respective expected capacities, roles, tasks required to implement and periodically improve the PMR Operations Monitoring System
Next Steps

1. Written comments on the note (particularly the proposed tools) until December 15, 2014.

2. Final PMR Dashboard and MRP Implementation Report template + draft PMR Operations Monitoring Report to be prepared for endorsement and discussion at PA11 (PMR Secretariat)

3. Prepare and present a first MRP Implementation Report at PA12 (ICPs)

4. Finalize the template for the annual PMR Operations Monitoring Report and provide and present a first complete progress report at PA12 (PMR Secretariat)
Thank You for Your Attention

PMR SECRETARIAT

PMRSECRETARIAT@WORLDBANK.ORG
WWW.THEPMR.ORG