MONITORING & EVALUATION WORKING GROUP: DISCUSSION OF PMR LOGICAL FRAMEWORK AND OBJECTIVES

Mr. Adrien de Bassompierre & Ms. Ewa Sobczynska
PMR Secretariat

Webex call
June 14th, 2017
Agenda

- Recap of M&E priorities for FY17-18 – PMR Secretariat
- Second Evaluation timeline and ToR - PMR Secretariat
- Background on revisions to the PMR Logical Framework and Objectives – PMR Secretariat
- Discussion of the revised PMR Logical Framework and Objectives
- Next steps
M&E FY17-18 priorities

➢ By end of FY17 (end of June)

- Launch 2\textsuperscript{nd} Independent Evaluation (ToR and firm selection)
  - Expression of Interest (EOI) completed
  - Request for proposal (RFP) launched; firm selection in early July and contracted in August
- Revise PMR Objectives and PMR logical framework (focus of this call) - to be electronically approved by the PA, when finalized

➢ FY18

- 2\textsuperscript{nd} Evaluation cycle
- Design and launch Annual Monitoring Report
- Continue M&E framework improvements and reporting to the PA
M&E Working Group

- Current membership: Argentina, Brazil, Costa Rica, Germany, Japan, Turkey and the United Kingdom

- M&E Working Group objectives:
  - Provide feedback and guidance during the 2nd Evaluation of the PMR; serve as an intermediary for the PA
  - Support the implementation of the PMR enhanced M&E System

- M&E Working Group role during the evaluation:
  - Provide feedback on the methodology, proposed by the Evaluator during the Inception stage (Sept-Oct 2017)
  - Review the preliminary findings, as they become available (March-April 2018)
  - Provide feedback on the draft final report (May-June 2018)
  - Guide drafting of the follow-up action plan (July-September 2018)
  - Present the findings and recommendation to the PA (PA19)
<table>
<thead>
<tr>
<th>Actions</th>
<th>FY17</th>
<th>Jul-Aug</th>
<th>Sept-Oct</th>
<th>PA17</th>
<th>Nov-Dec</th>
<th>Jan-Feb</th>
<th>March - Apr</th>
<th>PA18</th>
<th>May-June</th>
<th>July-Sept</th>
<th>PA19</th>
</tr>
</thead>
<tbody>
<tr>
<td>Finalize ToR</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Select and contract Evaluator</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Present methodology</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Collect evaluation data</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prepare draft report (inc. prelim. findings)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Present draft report to the PA (inc. prelim. findings)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Revise and submit final report (inc. feedback)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prepare follow-up action plan</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Present the final report and action plan to the PA</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
2nd Evaluation ToR

- Based on the 1st Evaluation ToR
- Formative evaluation type to inform final years of Phase I of the PMR and potential Phase II
- Flexible approach to methodological design (proposal requested from the selected firm)
- Broad evaluative questions (to be expanded during the inception phase)
- Key objectives: to assess PMR’s performance against the logical framework and with regard to OECD/DAC criteria of effectiveness, efficiency and relevance
Proposed revisions to the PMR Objectives and Logical Framework

- Revisions are based directly on the recommendations of the 1st Independent Evaluation and the PA-endorsed Action Plan
- Logical Framework and Objectives will serve as a basis for the 2nd evaluation and assessment of PMR’s performance
Proposed revisions to the PMR Objectives and Logical Framework (cont.)

PMR Logical Framework: key revisions

- Rephrasing outcomes, outputs and inputs to better reflect PMR’s current activities (esp. Outcome 4 - PMR knowledge)
- Enhancing indicators to make them SMART (specific, measurable, achievable, relevant and time-bound); a mix of quantitative and qualitative indicators are proposed with targets, when/if applicable
- Rephrasing underlying risks and assumptions to make them current and relevant

PMR Objectives: key guiding principles

- Re-phrasing directly linked to the proposed outcomes of the PMR logical framework
- Reflects, in substance, the proposal put forth during the 1st Evaluation
Summary of written comments received from M&E Working Group
Discussion – guiding questions

- **PMR Logical framework**
  - Is the logical chain (inputs->processes->outputs->outcomes->impacts) well-established?
  - Is the phrasing of outputs, outcomes, and impacts clear and relevant?
  - Are indicators SMART (specific, measurable, achievable, relevant and time-bound) enough?

- **PMR Objectives**
  - Do the objectives reflect the main results that the PMR can be held accountable for and contribute to, over short/medium-term?
  - Are objectives well formulated?
PMR Logical Framework (visual)

**IMPACTS**

**LONG TERM IMPACT**
GHG emissions are mitigated due to implementation of carbon pricing instruments in countries that receive PMR funding and technical support.

**MEDIUM TERM IMPACT**
Carbon pricing instruments and/or core technical components needed to underlie them are fully in place in countries that receive PMR funding and technical assistance.

**OUTCOMES**

- The capacity of PMR participants to design, develop, and implement carbon pricing instruments and/or core technical components for GHG mitigation has been demonstrated.
- Carbon pricing instruments and/or core technical components for GHG mitigation are piloted by PMR participants.
- The environment for technical collaboration to support commons approaches to carbon pricing instruments is enhanced with PMR assistance.
- PMR knowledge has informed efforts of PMR participants to develop and/or implement domestic carbon pricing instruments.
- Global policy discussions regarding the use of carbon pricing for GHG reduction is informed and influenced by PMR.

**OUTPUTS**

- PMR Secretariat, delivery partner management systems, and country-level implementation units operational.
- Needed regulatory, economic, and institutional analyses to inform designing/piloting of carbon pricing are completed.
- Domestic carbon pricing instruments designed with PMR assistance and national and international stakeholders engaged.
- MRV, data management and registry systems, and national, regional, sectoral, or facility-level GHG baseline or benchmark developed.
- Funding is mobilized to put carbon instruments and/or core technical components for GHG mitigation in place.
- Platform for technical discussions, country-to-country exchange, and innovation is functioning.
- PA Meetings and side events successfully implemented and followed-up.
- Technical workshops, guidelines, notes and training: webinars and e-courses, and country and global upstream analytical work completed and of high quality.
- PMR-generated resources made available and disseminated to PMR participants and other external stakeholders.
- Technical exchanges and guidance shared between UNFCCC, other institutions, and PMR Secretariat; joint technical work completed.
- Lessons learned and best practices on carbon pricing are publicly accessible and shared with PMR participants, UNFCCC and other institutions.

**INPUTS**

- PMR Secretariat staffed and work allocated to country activities; necessary human resources allocated by recipient countries and project implementation units established.
- Implementation agreements agreed to and funding allocated and disbursed.
- Technical workshops, trainings, webinars and e-learning implemented by PMR.
- Country-level, regional and global analyses on carbon pricing developed and published by PMR Secretariat.
- Funding committed and PA meetings, country-to-country exchanges held. Funding allocated and committed to knowledge management and exchange activities.
- Online content updated regularly by PMR Secretariat; biannual newsletter and annual report published.
- Technical collaboration and communication with UNFCCC and other institutions; regular interactions to PMR-organized events and meetings.
- Joint technical outputs with UNFCCC and other institutions completed.
- Lessons learned and best practices available online on PMR’s website.
Next steps

- Following any verbal and written comments and feedback, the Logical Framework and Objectives will be revised by the PMR Secretariat
  - Final review via e-mail by the M&E Working Group
  - Electronic distribution to the PA
FOR MORE INFORMATION ON THE PARTNERSHIP FOR MARKET READINESS (PMR), PLEASE CONTACT:

PMR SECRETARIAT
PMRSECRETARIAT@WORLDBANK.ORG
WWW.THEPMR.ORG