



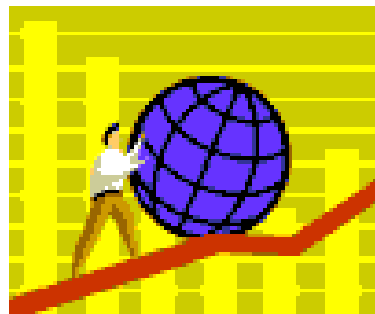
DRAFT PROPOSAL FOR THE ESTABLISHMENT OF A PMR RESULTS FRAMEWORK

XUEMAN WANG
PMR SECRETARIAT

The document:

- ◆ Represents an initial effort at creating the **basic components** for a PMR Results Framework
- ◆ **Takes a practical approach:**
 - **Practicality** of the framework, including the use of existing reporting tools, and
 - Level and amount of **information required** to allow good monitoring of PMR activities

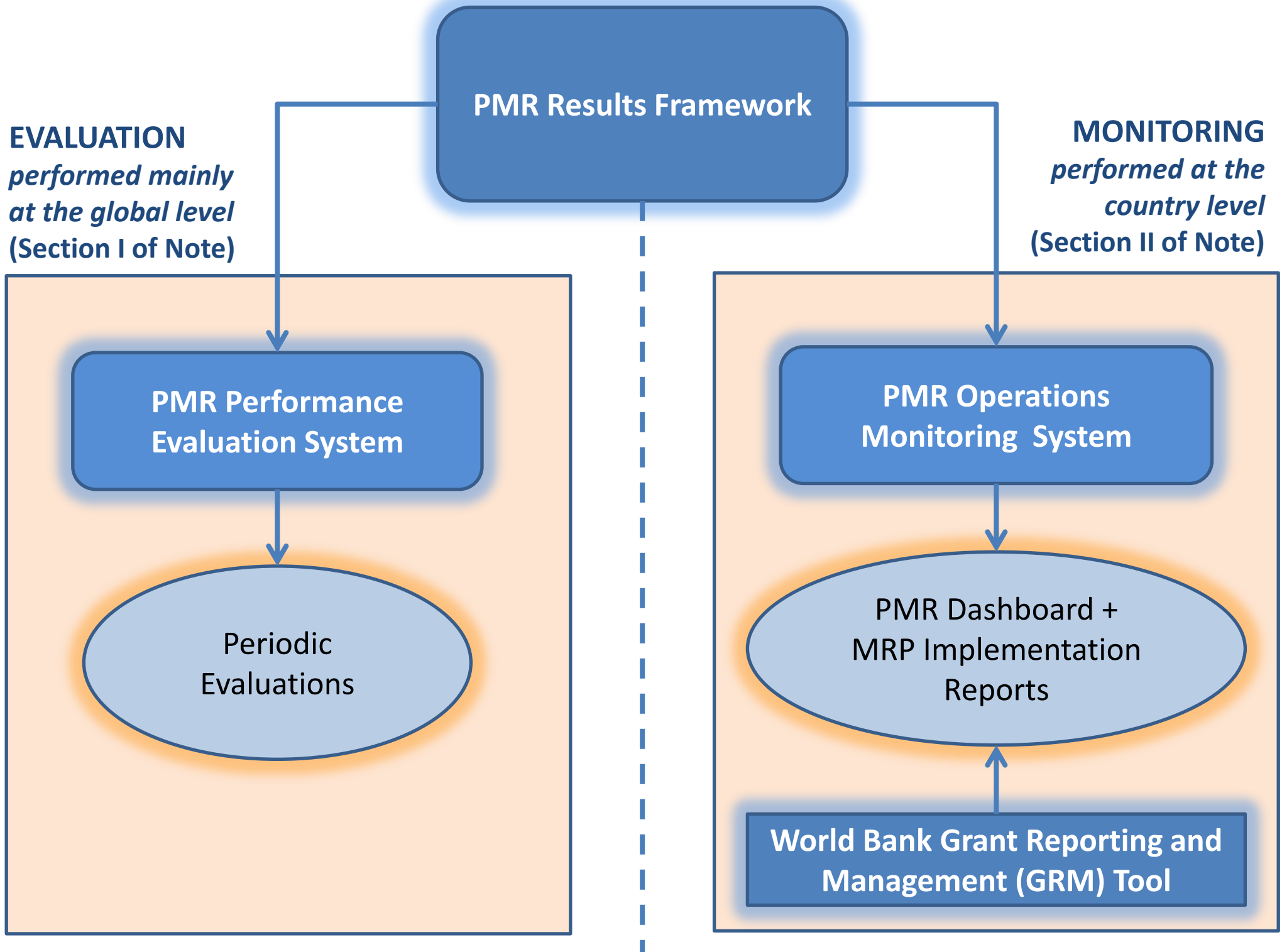




◆ The proposed Results Framework has two parts:

1. To **assess the performance of the PMR at the global level**, through periodic evaluations by an independent third party;
2. To **monitor the status and progress of the PMR activities at the country level**, by relying mainly on the existing supervision processes of the World Bank to provide reporting on the implementation and outcome of grant financed activities.





PMR Evaluation (at global level)

Objectives of the PMR

- ◆ To provide grant financing to countries for building market readiness components;
- ◆ To pilot, test new concepts for market instruments,
- ◆ To create a platform to enable policy makers of government agencies, practitioners, and public and private entities to share experiences and information
- ◆ To create and disseminate a body of knowledge on market instruments that could be tapped for country-specific requirements; and
- ◆ To share lessons learned, including with the UNFCCC.



- ◆ The goal is to **establish a systematic framework for regular evaluations of the PMR**



- ◆ Periodic evaluations

- Should be **independent and focused on the achievements of the PMR** against its stated objectives and operating principles
- Intended to **improve PMR performance** during the early stages of its implementation and to **increase accountability** to stakeholders



Areas to be evaluated

- ◆ Progress achieved since establishment of the PMR in relation to stated objectives.
- ◆ Roles and responsibilities: governance structure.
- ◆ Resources used to achieve outputs and outcomes.
- ◆ Impact on “readiness” building



Input

PMR funding support
(preparation and implementation funding)

PMR country advisory support
(PMR Secretariat, delivery partners, and PMR experts)

PMR knowledge sharing and management

Output

Market Readiness Proposals

GHG mitigation guidance and standards

Technical workshops and papers

KM products disseminated

Active N-S & S-S learning activities

Strong visibility of market instruments

Outcome

Feasibility and capacity assessments

Data management systems

MRV systems

Institutional capacity

Policy and legal frameworks

Pilot programs to test market instruments

KM products used

Intermediate Impact

Good practice for core market readiness technical components

Globally recognized GHG mitigation standards

ERs from program pilots

Additional GHG mitigation investments

Impact

Carbon pricing instruments for GHG mitigation in place

Reduced GHG emissions

- ◆ Evaluation will cover **ongoing and completed activities**, and be **'real-time'**
- ◆ Detailed methodology will be formulated by an evaluation team.
- ◆ **Impacts are too early to assess** but the causal chain leading up to them will be investigated.
- ◆ Evaluation **criteria** will include relevance, effectiveness, efficiency, impacts and sustainability.





- ◆ ToRs to commission an independent evaluation:
 - The Secretariat will prepare ToRs for consideration at PA7 (October 2013);
 - Upon endorsement by the PA, the Secretariat will launch a procurement process for hiring a firm for carrying out the evaluation.
- ◆ Estimate budget:
 - US\$190K.
- ◆ Timeframe:
 - Six to eight month for the evaluation period;
 - The evaluation team would report its findings at PA10 (October 2014).

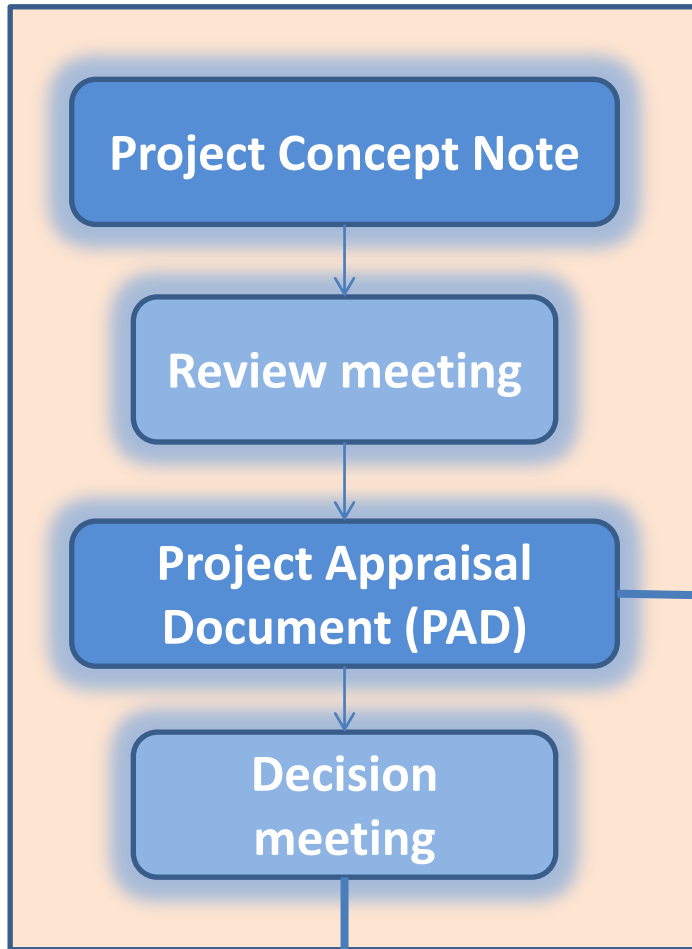
PMR Operations Monitoring System (at country level)

Basic tools

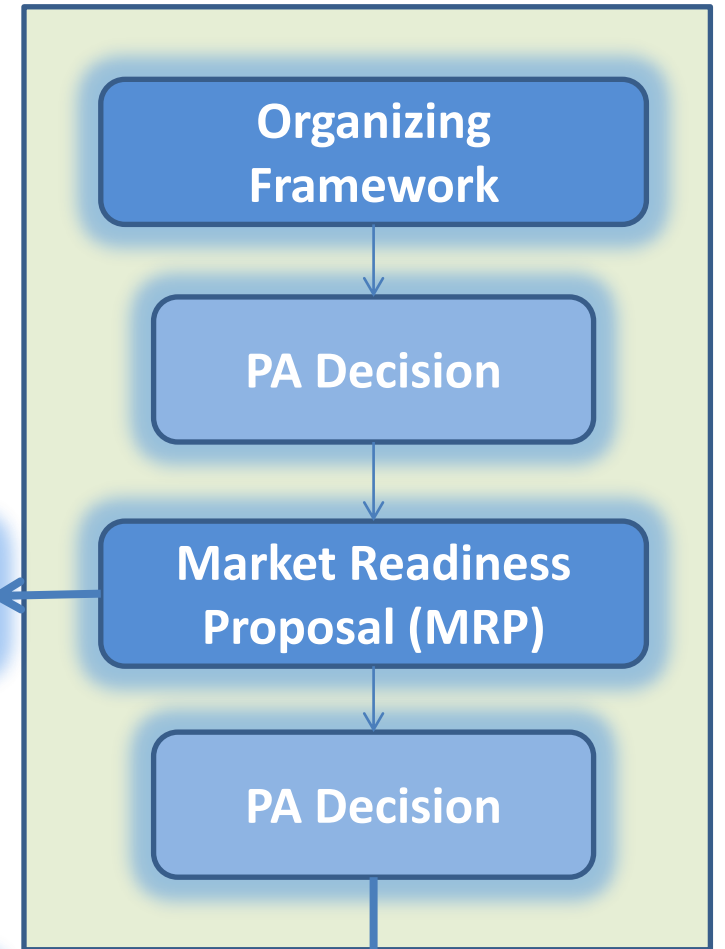
- ◆ Secretariat will:
 - Monitor PMR operations, and
 - Undertake regular assessments of the progress achieved in relation to MRP implementation and Grant agreement plans.
- ◆ Two tools:
 - **PMR Dashboard:** Allows for the monitoring of key (mainly administrative) milestones of the PMR process in all of the Implementing Countries; and
 - **Country MRP Implementation Reports:** Provide an overview of the progress made in each PMR Implementing Country towards reaching the objectives outlined in their respective MRP
 - Country-specific indicators, based on the **World Bank's Project Appraisal Document (PAD)**
 - Information gathered from the **Grant Reporting and Monitoring (GRM)** of the World Bank



WB APPRAISAL PROCESS



PMR PROCESS



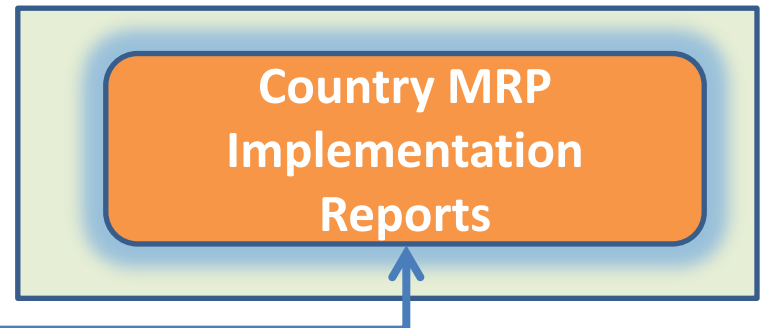
Objectives

Grant Agreement

WB MONITORING



PMR MONITORING



Grant Reporting and Monitoring Tool

Country MRP Implementation Reports

Annex 1: Results Framework and Monitoring

Country: XXXXXXXX

Project Name:

Results Framework

Project Development Objective Indicators

Indicator Name	Description (indicator definition etc.)
Share of Installations Reporting GHG Data Submissions	Percentage of covered installations reporting their GHG data for year 2015 by 30 June 2016.
Submission of a Carbon Markets Policy Options Document to National Climate Change Board	Policy options document on carbon market policy instruments submitted to the National Climate Change Board for its consideration.

Intermediate Results Indicators

Indicator Name	Description (indicator definition etc.)
Web-based GHG Data Management System	A comprehensive and robust web-based database and registry system for the monitoring, reporting, and verification of GHG emissions is established.
Establishment of MRV systems in currently uncovered sectors	GHG monitoring, reporting and verification (MRV) systems studied and possibly established for sectors not covered under the MRV by-law.
Voluntary Piloting of MRV by Companies	Requirements and process of MRV by-law is piloted among volunteering companies, verifiers, etc.
Background Analytical Reports Feeding into the final Policy Options and Recommendations Report	No description provided.

Relying on existing frameworks

- ◆ Implementing Countries will provide regular updates at PA meetings.
- ◆ Delivery Partners will supply data through their annual Grant Reporting and Monitoring (GRM) tool.
- ◆ The PMR Secretariat will aggregate data and report annually to the PA on the overall status of implementation of the PMR.
- ◆ Through implementation over time, the PMR Secretariat will examine the need and feasibility to develop a set of general criteria applicable to all the MRPs.



Next Steps

- ◆ Participants are invited to provide written comments by June 30, 2013
- ◆ Based on oral comments expressed at this meeting and written comments received, the PMR Secretariat will submit a revised document for consideration and decision at PA7 in Morocco in October 2013



Thank You

FOR MORE INFORMATION ON THE PARTNERSHIP FOR MARKET READINESS
(PMR), PLEASE CONTACT:

PMR SECRETARIAT

PMRSECRETARIAT@WORLDBANK.ORG

WWW.CARBONFINANCE.ORG