

Aims for this presentation

- Team: Provide introduction to the independent evaluation team
- Purpose: Provide overview of evaluation scope
- Value: Demonstrate how the evaluation will support the PA
- Plan: Familiarise you with key areas of the evaluation plan & timing
- Feedback: Opportunity to ask questions & provide observations



Full detail provided in **Inception Report** - distributed with PA17 papers

Team: introduction to evaluation team



Global independent research company

Complex policy evaluation
Sustainable development expertise
Primary data collection
88 country offices



Climate and energy consultancy

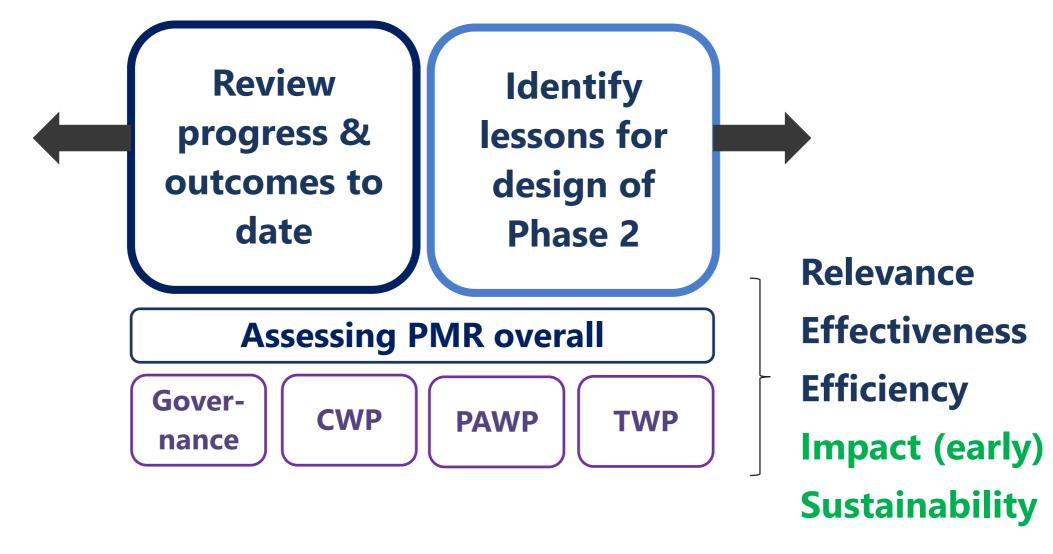
Climate & energy policy evaluation

Carbon market and pricing

research & consultancy



Purpose: overview of evaluation scope



Value: key ways evaluation will support PA

Comprehensive engagement of PMR stakeholders

Hearing views from all

Understanding the 'Why?' & 'How?'

Emphasis on in-depth qualitative evidence collection

Understanding overall PMR impact in context

Exploring progress against logframe in context of wider enablers & barriers

Real-time learning

Ongoing communication & action-planning activities

Identifying how the PMR can deliver...

...as **effective & relevant support** as possible to ICPs

...effective sharing of learning so impacts for each ICP, & overall are maximised

...value for money to donors



Value: evaluation questions

Effectiveness & Efficiency

How effectively & efficiently has the PMR...

- engaged CPs and other stakeholders
- supported ICPs in building their capacity
- governance model led decision making

Relevance

Has the PMR responded adequately to the recent developments and likely future prospects of international carbon markets?

Impact

What have been the **principal value-added** and catalytic effects of the PMR to date...

- at country levels
- at global levels

Sustainability

What can be learned from the PMR's experience so far to help enhance its...

- relevance, effectiveness and efficiency
- governance and management



Plan: Inception Report

Key areas covered:

Evaluation scope

Detailed evaluation questions

Plans to gather evidence

Approach to **understanding impact of PMR** & its relative contribution vs. other influences

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Comment is invited on the Report

Specifically you may like to consider:

- Key evidence needs from evaluation
 - To make decisions for Phase 2
 - To improve an area of PMR processes
- Selection criteria for case-studies
 - What contexts are useful to explore?
- Stakeholders to engage in evaluation
 - Whose views are important to gather?

Plan: overview of evaluation approach

- Multiple strands of evidence collection, but focus on qualitative evidence
- Gathering range of (internal & external)
 perspectives against the key evaluation
 objectives
- Triangulated with secondary evidence
- Monitoring progress against the logframe
- Reviewed against the theory of how the PMR is expected to lead to outcomes
- Looking beyond PMR, to consider relative role played by external factors



Gathering views from:

- World Bank teams
- CPs & technical partners
- ICPs
- Other national & international stakeholders

Through various feedback channels:

- In-depth interviews
- In-country visits to three ICPs
- Online survey of wider stakeholders

Plus secondary evidence from:

- Internal document review e.g. MRPs
- External literature review e.g. policy docs

Plan: basing approach in PMR's theory of change

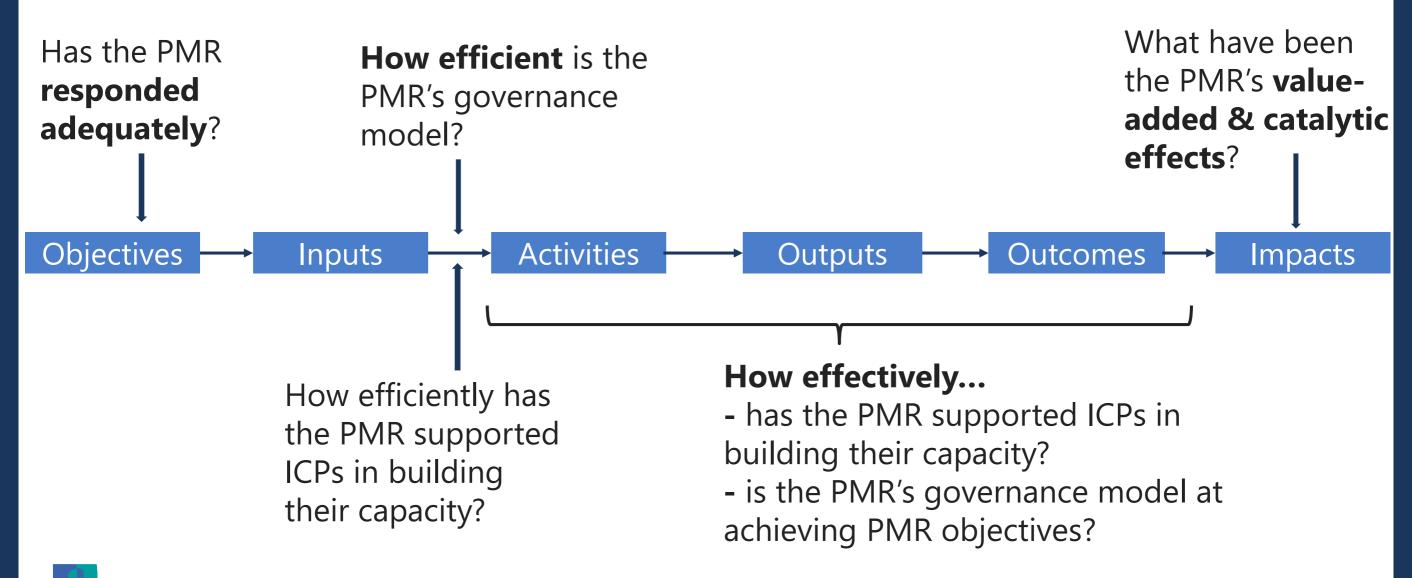
A visual aid showing the **steps towards a desired goal**, and the intended connection between these steps in terms of cause and effect.

Showing how we get from the inputs: organisational resources, funding, etc...
...to the overall results we want: progress towards carbon pricing, GHG emission reduction.

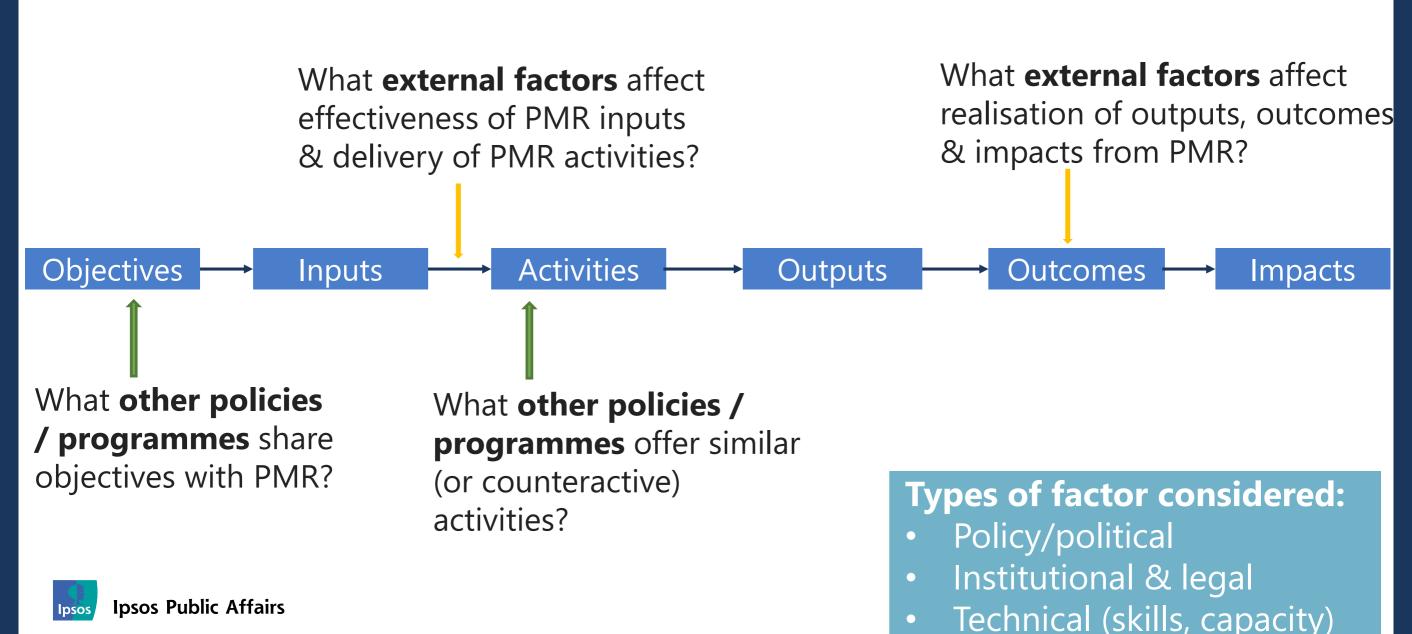
It also shows the **context** in which this is hoped to be achieved and the **assumptions and risks** involved



Plan: assessing the PMR against its own theory of change



Plan: for considering external influencers on PMR



Plan: for assessing relative contribution of PMR to impacts

Evaluation will qualitatively assess the role of the PMR against these external influencers

1. 2. 3.

Develop narrative for expected route to impacts via PMR

Identify
alternative ways
in which impacts
could be realized

Iteratively gather broad evidence base, about PMR and other factors

Synthesize evidence, review against theory & 'alternatives', conclude likely relative contribution made by PMR

Most appropriate approach to evaluating impact given:

- Complex landscape around the PMR: no comparable 'control'
- Variety of PMR support provided: not one simple intervention



Plan: for selecting case-study countries

Eligibility criteria:

- Implementation phase
- Not selected in the 1st independent evaluation

Recommendation:

Chile
Thailand
Costa Rica

Key criteria of interest:

- Channel for execution of grant
- Time needed to launch implementation phase
- Receipt of PAWP support

Review of related PMR & national documentation - & 3-day field visit

Reflective of:

- More than 1 geographical region
- Funding committed (& any additional)
- Time implementing MRP
- Variety of carbon pricing instruments
- Variety of activities in development

Stakeholder interviews:

Gov, regulators, industry, private sector, financial institutions, civil society

Plan: mitigating evaluation challenges

Comprehensive engagement of PMR stakeholders

Flexible approach: multiple channels, convenient scheduling, use of secondary contacts

Unpicking impact of PMR

Comprehensive theory building with input from wide range of stakeholders; all research strands will explore contributing drivers & barriers

Handling heterogeneity of PMR support

Evaluation tools will be tailored for specific stakeholder groups / support streams / contexts but collect consistent outcomes

Providing real-time learning

Developing stakeholder comms plan; staggered outputs (e.g. case-study summaries); presentation of draft findings at PA18; slidepacks plus reports



Plan: evaluation timeline





Feedback: Have your say

 Opportunity for comment & discussion now



- Follow-up sessions with:
 - **ICPs**: 5:30pm today
 - **CPs**: 4:30pm tomorrow
- Evaluation plan will be considered final 2 weeks from now

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Comment is invited on any element

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Thank you.



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